

ENTITY SELF-CERTIFICATION FORM

Entity & Controlling Person Self-Certification Form

1. Entity Details

Name of Entity _____

Country of Incorporation _____

Incorporation/Registration Number _____

Registered Office Address _____

Country (if different from country of incorporation) _____

Mailing Address (if different to registered address) _____

2. Tax Residence

I hereby certify that the entity mentioned above in (1) is tax resident in

For tax purposes, please provide the entities tax identification number (TIN):

No TIN available? Please provide explanation as to why below

If the entity is tax resident in more than one jurisdiction list each jurisdiction below

Country 1 _____

Country 2 _____

Country 3 _____

3. Entry Certification

SECTION A – For Financial Institution (FI) to complete:

(a) Financial Institution – Investment Entity

- (i) An investment entity located in a non-participating jurisdiction managed by another FI

If you have ticked the above box, please complete the details for each controlling person in section C

- (ii) Other investment entity

(b) Financial Institution – Depository or Custodial Institution or Specified Insurance Company

If you have ticked (a) above or (b) above, please provide if held your organisations global intermediary identification number (GIIN) obtained for FATCA purposes:

If you do not have a GIIN but you are sponsored by another entity which is carrying out due diligence for both US FATCA on your behalf and is carrying out your due diligence as a third party service provider under the CRS, please provide their GIIN above and state their name below:

If unable to provide GIIN please tick the reason why your organisation does not have a GIIN:

- (i) It is a participating FI in an IGA Partner Jurisdiction and has not yet obtained GIIN
- (ii) It is an FI that intends to apply for a GIIN but has not yet applied or received
- (iii) It is an exempt Beneficial Owner
- (iv) It is a Certified deemed Compliant FI (e.g. Registered Charity)
- (v) It is an Owner Documented FI
- (vi) It is a Non-Participating Foreign FI
- (vii) Other (please state):

SECTION B – For Non-Financial Entity (NFE)

(a) Active NFE – a corporation the stock of which is regularly traded on an established securities market or corporation which is a related entity of such a corporation

If you have ticked (a), please provide the name of the established securities market on which the corporation is regularly traded:

If you are a Related Entity of a regularly traded corporation, please provide the name of the regularly traded corporation that the Entity in (a) is a Related Entity of:

(b) Active NFE – a Government Entity or Central Bank

(c) Active NFE – an International Organisation

(d) Active NFE – other (please refer to guidance for further details)

If you are a start-up company formed in the past 24 months, please Provide date of incorporation.

Date: _____

(e) Passive NFE (Note: if ticking this box complete Section C)

SECTION C – Controlling Persons

Controlling Persons are the natural person who exercise control over an entity:

In the case of a trust, the Controlling Person(s) are the settlor(s), trustee(s), the protector(s) (if any), the beneficiary (ies) or class(es) of beneficiaries, or any other natural person(s) exercising ultimate effective control over the trust (including chain of control ownership).

Where the settlor(s) of a trust is an Entity the CRS requires the FI to also identify the Controlling Persons of the settlor(s) and when required report them as Controlling Persons of the trust.

If you have ticked Section A (a) i or Section B (e) above, please complete the details for each Controlling Person in the section below (continue on separate sheet if required, signing, dating and attaching the sheet to this form):

| | | | |
|---------------------------------|--|--|--|
| Entity – control by ownership | | Trust – other | |
| Entity – control by other means | | Legal arrangement (but not a trust) settlor equivalent | |
| Trust – settlor | | Legal arrangement (but not a trust) trustee equivalent | |
| Trust – trustee | | Legal arrangement (but not a trust) protector equivalent | |
| Trust – protector | | Legal arrangement (but not a trust) beneficiary equivalent | |
| Trust - beneficiary | | Legal arrangement (but not a trust) other equivalent | |

CONTROLLING PERSONS (1)

Capacity/Position _____
Surname _____
Title _____
First Name _____ Residential Address _____
Middle Name(s) _____
Date of Birth _____
Place of Birth _____
Country of Birth _____

Please complete the following table for any country the Controlling Person is considered tax resident:

| | |
|-----------------|-----------|
| Country 1 _____ | TIN _____ |
| Country 2 _____ | TIN _____ |
| Country 3 _____ | TIN _____ |

If no TIN, please explain why below:

Country 1 _____
Country 2 _____
Country 3 _____

CONTROLLING PERSONS (2)

Capacity/Position _____
Surname _____
Title _____
First Name _____ Residential Address _____
Middle Name(s) _____
Date of Birth _____
Place of Birth _____
Country of Birth _____

Please complete the following table for any country the Controlling Person is considered tax resident:

| | |
|-----------------|-----------|
| Country 1 _____ | TIN _____ |
| Country 2 _____ | TIN _____ |
| Country 3 _____ | TIN _____ |

If no TIN, please explain why below:

Country 1 _____
Country 2 _____
Country 3 _____

CONTROLLING PERSONS (3)

Capacity/Position _____
Surname _____
Title _____
First Name _____ Residential Address _____
Middle Name(s) _____
Date of Birth _____
Place of Birth _____
Country of Birth _____

Please complete the following table for any country the Controlling Person is considered tax resident:

| | |
|-----------------|-----------|
| Country 1 _____ | TIN _____ |
| Country 2 _____ | TIN _____ |
| Country 3 _____ | TIN _____ |

If no TIN, please explain why below:

Country 1 _____
Country 2 _____
Country 3 _____

SECTION D – DECLARATION AND SIGNATURE

1. I authorise the Company to provide, directly or indirectly, to any relevant tax authorities or any party authorised to audit or conduct a similar control of the Company for tax purposes, a copy of this form and to disclose to such tax authorities or such party any additional information that the Company may have in its possession that is relevant to the entity's qualification for any benefits claimed on the basis of this Declaration.
2. I certify that the entity named is the beneficial owner of all the income to which this form relates.
3. If signing on behalf of the Controlling Person, I undertake to notify them of the completion of this form and that their details may be reported to the tax authorities of the country in which they are resident for tax purposes.

Signature: _____

Print Name: _____

Capacity (if applicable): _____

Date: _____